Non-Governmental Sponsors
Collection of the Form 700U

New Joint 700U/IRB Review Procedure:
One Project, Two Conflict of Interest (COI) Policies, One COI Review

Must meet all of the following criteria:

- Non-governmental sponsor (check exempt sponsor list for exceptions). Requires Form 700U
- Sponsor made the decision to fund the project at proposal stage. Start COI review process immediately since you know the project will be funded.
- New funding is linked to a new IRB application. Requires COI review per IRB policy.

Research projects in this Joint 700U/IRB Proposal category are required to upload the Form 700U with their new IRB Application (e-APP).

Helpful Tips

- Create and save the KC proposal before the New IRB Application for a Joint 700U/IRB Proposal
- To maximize the parallel processes, minimize the time between the KC and IRB application submissions for a project if possible

Form 700U Collection (Non-Governmental Sponsors)

Each green question reflects an updated KC Proposal and/or the New IRB Application Question

Q1. Is the sponsor/prime sponsor a non-governmental entity (such as a for-profit or non-profit entity)?

Yes

Q2. Is that sponsor/prime sponsor on the exempt sponsor list?

Yes

Q3. Has the sponsor/prime sponsor made a decision to fund this project?

Yes

Q4. Is a New IRB Approval required before beginning work on this project?

Yes

Form 700U Not Required

State disclosure requirements do not apply to this proposal

Standard Proposal

Officer requests Form 700U at notice of award

After the Fact Proposal

Upload Form 700U at proposal submission

Joint 700U/IRB Proposal

Upload Form 700U in New IRB Application (e-APP)

Form 700U Reminders:

- Electronic copies of Form 700U must have handwritten signature
- Principal Investigators are responsible for submitting the original, wet-ink signed Form 700U to COI Team, Zot Code: 7600 for record-keeping
Proposals created before the implementation date that have not been submitted to workflow before the implementation date will be required to update the KC Questions starting September 25th.

1. Go to the Questions tab in your KC Proposal and expand the panel by clicking “show.”

2. The default will retain your original answers so you only need to click the “update” button.

3. Answer the new KC Questions.